

# CS 277 (W24): Control and Reinforcement Learning

## Quiz 6: Planning and MBRL

Due date: Wednesday, February 21, 2024 (Pacific Time)

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<https://royf.org/crs/CS277/W24>

**Instructions:** please solve the quiz in the marked spaces and submit this PDF to Gradescope.

**Question 1** When sampling experience  $(s, a, r, s')$  for RL, an arbitrary-reset simulator  $\hat{p}(s'|s, a)$ , which can be reset to any state  $s$ , is more useful than a simulator that cannot, in the following ways (check all that hold):

- $s$  can be sampled from an arbitrary distribution.
- $a$  can be sampled on-policy  $(a|s) \sim \pi$ .
- $(r, s'|s, a)$  can be sampled multiple times.
- $s$  can be set to  $s'$  after every sample (except when  $s'$  is terminal), to get entire trajectories.
- None of the above

**Question 2** In model-based exploration algorithms, let  $\hat{M}$  be a good approximation of the real MDP in a subset  $S$  of states (*known* states).  $\hat{M}'$  is similar to  $\hat{M}$ , except that  $\hat{M}$  gives reward 0 in unknown states, while  $\hat{M}'$  gives the maximum reward  $r_{\max}$ . Check all that hold for the optimal policy  $\pi$  in  $\hat{M}$  and the optimal policy  $\pi'$  in  $\hat{M}'$ :

- If  $\pi$  has low probability to reach an unknown state, then it is near-optimal in  $M$ .
- If  $\pi'$  has low probability to reach an unknown state, then it is near-optimal in  $M$ .
- $\pi$  tends to have a higher probability than does  $\pi'$  to reach an unknown state.
- $E^3$  uses  $\pi'$  rather than  $\pi$  for exploration, because  $\pi'$  is optimistic under uncertainty and thus explores more.
- None of the above

**Question 3** Model Predictive Control (MPC) uses an approximate model for planning, but then only executes each plan for a single step, and re-plans after every action. This scheme partly mitigates the accumulation of model error. This is true regardless of observability, and is equally beneficially in unobservable environments. **Yes / No.**

**Briefly justify:**